

CERTIFICATE PERTAINING TO FOREIGN INTERESTS

PENALTY NOTICE

Failure to answer all questions or any misrepresentation (by omission or concealment, or by misleading, false, or partial answers) may serve as a basis for non-selection as a vendor.

PROVISIONS

1. The prescribing directive for this form is NETCOM Regulation 715-1. While you are not required to respond, an accurate risk management decision cannot be made if you do not complete this form.
2. When this form is submitted in confidence and is so marked, applicable exemptions to the Freedom of Information Act will be invoked to withhold it from public disclosure.

COMPANY INFORMATION

COMPANY NAME:

COMPANY MAIN OFFICE ADDRESS:

COMPANY MAIN OFFICE PHONE:

COMPANY REPRESENTATIVE NAME:

(Person Completing This Form)

COMPANY REPRESENTATIVE PHONE:

QUESTIONS AND ANSWERS

(If you require additional space for your answers, use the Remarks on the reverse. Key your answers to the question number.)

1. *(Answer only if your organization issues stock.)* What percentage of the outstanding shares of any class of your organization's equity securities are owned or beneficially owned by a foreign person or persons?
2. *(Answer only if your organization issues stock.)* What is the subscription percentage by a foreign person or persons of your organization's total capital commitment?
3. What percentage of a foreign interest is owned, either directly or indirectly, through your subsidiaries and/or affiliates?
4. What non-U.S. citizens serve as members of your organization's board of directors (or similar governing body), executive personnel, general partners, regents, trustees, or senior management officials? *(List name and title.)*
5. What foreign person or persons, or entities have the power, direct or indirect, to control the election, appointment, or tenure of members of your organization's board of directors (or similar governing body) or other management positions of your organization, or have the power to control or cause the direction of other decisions or activities of your organization? *(List name and title.)*
6. What contract(s), agreement(s), understanding(s), or arrangement(s) does your organization have with foreign persons or entities?
7. What is the level of indebtedness, obligations, or liabilities your organization has with foreign persons or entities?
8. During the last fiscal year, what percentage of your organization's total revenues was derived from foreign persons or entities?
9. What percentage of any class of your organization's voting securities are held in "nominee" shares, in "street names," or in some other method that does not identify the beneficial owners?
10. Which members of your organization's board of directors (similar governing body), officers, executive personnel, general partners, regents, trustees, or senior management officials hold any positions with, or serve as consultants to foreign persons or entities? *(List name and title.)*
11. What other factors exist that indicates or demonstrates a capability on the part of foreign persons or entities to control or influence the operations or management of your organization?

REMARKS

(Attach additional sheets, if necessary, for a full detailed statement.)

CERTIFICATION

I certify that the entries made by me above and on the front of this form are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

WITNESSES:

_____ (Date Certified)

_____ **By** _____
(Signature Of Authorized Contractor Representative)

_____ *(Typed Name Of Contractor)*

NOTE: In the case of a corporation, a witness is not required, but the certificate below must be completed. Type or print names under all signatures.

_____ *(Title Of Authorized Contractor Representative)*

_____ *(Address)*

NOTE: Contractor, if a corporation, should cause the following certificate to be executed under its corporate seal, provided that the same officer shall not execute both the Agreement and the Certificate.

CERTIFICATE

I, _____, certify that I am the _____

of the corporation named as Contractor herein; that _____

who signed this certificate on behalf of the Contractor, was then _____

of said corporation; that said certificate was duly signed for and in behalf of said corporation by authority of its governing body, and is within the scope of its corporate powers.

(Corporate Seal)

(Signature and Date)